



TRANSMISSION REQUEST FORM
(In case of death of the sole holder)

Application No.		Date													
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(Please fill all the details in **Block Letters** in **English**)

To,
SPA Securities Limited
25, C-Block, Community Centre,
Janak Puri, New Delhi – 110058

Dear Sir / Madam,

PART-I

I, Nominee / Successor / Guardian of the successor or nominee (in case of Minor) request you to **transmit** the following securities due to the death of the sole account holder. Original Death Certificate / copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

Name of deceased BO:

Account Number of the deceased BO:

DP ID	1	2	0	5	8	6	0	0	Client ID								
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Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned below.

DP ID	1	2	0	5	8	6	0	0	Client ID								
Name																	

Details of Transmission			
Sr. No.	Name of the Security	ISIN	Quantity of securities to be transmitted

Attach an annexure duly signed by the Nominee / Successor / Guardian of the successor or nominee (in case of Minor), if the space above is insufficient.

(Nominees / Successor / Guardian of successor or nominee (in case of Minor))

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature			

PART – II

No Objection Statement from other heirs / successors who are non-applicants

- I / We, the undersigned, residing at _____, am / are legal heir(s) of the said deceased.
- I / We do not desire to make any claim of title of the said securities and have no objection whatsoever in transmitting the said securities in the name(s) of Mr. / Mrs. _____ who has / have opened a beneficial owner account(s) under Client ID _____ and DP ID – 12058600.
- In consideration of registration of the aforesaid securities in the client account of Mr. / Mrs. _____ under DP ID – 12058600 Client ID - _____ at my

request, I / we hereby renounce all my / our rights existing as well as those that may accrue to me / us in future in respect of the aforesaid securities.

Signed in the presence of

Bank Manager

Signature of the Legal Heir

Full Name and Address of Bank Manager

Name :

Address : _____

Note for all legal heirs / successors who are applicants / non-applicants:

Only one Transmission Request Form is to be submitted by claimants / non-claimants to the DP of the deceased BO for the transmission of securities wherein the intentions of the legal heirs / successors are collectively stipulated.

===== (Please Tear Here) =====

Acknowledgement Receipt

We hereby acknowledge receipt of the Instructions for transmission of securities from the deceased BO's account to the account of the Nominee / Successor / Guardian of the successor or nominee (in case of Minor), as per details given on the transmission form.

Account number of the deceased BO

DP ID	1	2	0	5	8	6	0	0	Client ID								

Successor BO Name(s)

First / Sole Holder	Second Holder	Third holder

Documents Submitted

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Subject to verification.

Depository Participant Seal and Signature